



2131/18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Y. AUDEBERT, et al. Art Unit: 2131  
Application No.: 09/880,795  
Filed: June 15, 2001  
For: METHOD, SYSTEM AND APPARATUS FOR A PORTABLE  
TRANSACTION DEVICE

INFORMATION DISCLOSURE STATEMENT  
AND FEE UNDER 37 CFR §1.17(p)

Pursuant to Rules 56 and 97(c), Applicants hereby call the attention of the Patent Office to the references listed on the attached Form PTO-1449. All of these references were cited in an European Search Report dated January 12, 2005 (copy attached).

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106. Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

The fee of \$180.00 set forth in 37 CFR §1.17(p) is enclosed  
(Check No. 42068).

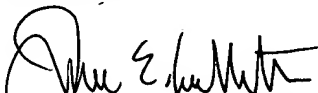
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180.00 OP

The Commissioner of Patents and Trademarks is hereby  
authorized to charge any additional fee (or credit any  
overpayment) to Deposit Account No. 19-4375.

Respectfully submitted,



James E. Ledbetter  
Registration No. 28,732

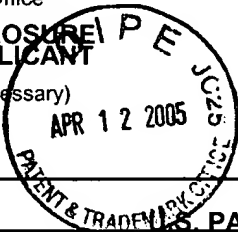
Date: April 12, 2005

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FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)



ATTY. DOCKET NO.

L741.01104

SERIAL NO.

09/880,795

APPLICANT

Y. L. G. AUDEBERT, et al.

FILING DATE

June 15, 2001

GROUP

2131

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS	FILING DATE IF APPROPRIATE
	5 4 9 1 7 5 2	02/1996	Kaufman et al.			
	2002 0 0 2 6 8 4	01/2002	Lamacchia et al.			
	5 6 5 5 1 4 8	08/1997	Richman et al.			
	2002 1 6 9 9 7 5	11/2002	Good			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS	TRANSLATION	
					YES	NO
0 7 3 7 9 0 7	10/1996	EP				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	European Search Report dated January 12, 2005.
	Hassler, et al., "Opencard Framework Application Development Using Java to Build Platform-Independent Smartcards," Dr. Dobb's Journal, M& T Publication, Redwood City, CA, vol. 309, XP001001494, pages 70, 72, 74-76, Feb. 2000.
	Shanley, "Plug and Play System Architecture," Mindshare Inc., Plug and Play System Architecture, System Architecture Series, XP002042679, pages 14-15, 43-50, 1995.
	Shanley, "Plug and Play System Architecture," Mindshare Inc., Addison Wesley, XP002313206, 7 pages total, 1995.
	Zao, et al., "Domain Based Internet Security Policy Management," Proceedings Darpa Information Survivability Conference and Exposition, XP002276485, pages 41-53 Dec. 31, 1999.
	Abrams, et al., "New Thinking About Information Technology Security," Computers & Security, Elsevier Science Publishers, Amsterdam, vol. 14, no. 1, XP004001979, pages 69-81, 1995.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.